# Publication scheme handbook

### How we comply with the publication scheme duty



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#### **Glossary and abbreviations**

Term used	Explanation			
SIC	Scottish Information Commissioner			
FOI	Freedom of Information			
FOISA	Freedom of Information (Scotland) Act 2002			
EIRs	Environmental Information (Scotland) Regulations 2004			
MPS	Model Publication Scheme			
GTI	Guide to information			
HOCS	Head of Corporate Services			
FAM	Finance and Administration Manager			
HOPI	Head of Policy and Information			
SMT	Senior Management Team			

#### Introduction

- 1. This handbook provides:
  - (i) our policy on the SIC's compliance with the publication duties in the Freedom of Information (Scotland) Act 2002 (FOISA) and the Environmental Information (Scotland) Regulations 2004 (the EIRs)
  - (ii) our procedures for publication and maintenance of the SIC's Guide to information (GTI).
- 2. It explains how the SIC, as an individual Scottish public authority, complies with FOI law as regards its own publication.
- You can read more about how the SIC fulfils its wider statutory responsibilities for ensuring that **all** authorities comply with the publication scheme duties at <u>www.itspublicknowledge.info/ScottishPublicAuthorities/PublicationSchemes/PublicationSchemesHome.aspx</u>

#### **Policy Statement**

- 4. SIC has complied with the statutory duty in section 23 of FOISA to adopt and maintain a publication scheme by adopting the SIC's <u>Model Publication Scheme</u> (MPS). The MPS provides the strategic framework for proactive publication of information by the SIC's office.
- 5. The SIC publishes and maintains an online <u>Guide to Information</u> (GTI) which:
  - (i) allows the public to see what information is available (and what is not available) in relation to each class of information in the SIC's Publication Scheme
  - (ii) states what charges may be applied
  - (iii) explains how to find the information easily
  - (iv) provides contact details for enquiries and to get help with accessing the information
  - (v) explains how to request information we hold but have not published
- 6. The SIC is committed to:
  - (i) ensuring the delivery of our functions meets and keeps pace with recognised standards, and is delivered openly and transparently, and
  - (ii) being recognised as an organisation of accessible experts that is run efficiently, governed effectively and leads by example in the delivery of its statutory functions.
- 7. The SIC therefore aims to achieve an excellence rating in its publication scheme compliance against the Standards and Criteria of the <u>Publishing information</u> module of the SIC's Self-Assessment Toolkit.

#### **Roles and responsibilities**

- 8. The responsibility for ensuring that the GTI demonstrates due regard to the public interest in information held by the SIC (a duty under section 23(3) of FOISA) is shared by the SIC, the SMT and all members of staff.
- 9. The HOCS has lead responsibility for
  - (i) the publication and maintenance of the SIC's Publication Scheme and the GTI
  - (ii) ensuring the SIC's GTI complies with updates of the MPS
  - (iii) providing annual assurance reports to the SIC and the SMT in line with the Governance Reporting Arrangements
- 10. The FAM is responsible for
  - (i) managing and maintaining the content of the SIC's GTI
  - (ii) ensuring that published documents are the latest version, as recorded in the Register of Key Documents
  - (iii) ensuring that the Register of Key Documents is comprehensive and up to date
- 11. The Administrator supports the FAM by uploading or removing the GTI content in accordance with the FAM's instructions.

#### Maintaining and developing SIC's guide to information

#### Publication

- 12. The structure of SIC's GTI follows that of the MPS (classes of information).
- 13. The GTI is published on the SIC's website in the About Us section. Paper copies are available on request.
- 14. Publications are available for three years (current + two years although some documents are available for longer periods, for example, the SIC's Annual Reports).

#### **Content review**

- 15. The content of the GTI is reviewed formally by the HOCS annually as part of the assurance processes. The purpose of this review is to:
  - (i) assess its performance against the Standards and Criteria of the Publishing information module of the SIC's Self-Assessment Toolkit.
  - (ii) identify and agree whether new information should be added to the GTI to reflect the public interest in that information.
  - (iii) 16. As part of the review process, the HOCS will seek confirmation from the HOE and the HOPI on the matters set out at 15(i) and (ii) above

#### **Routine maintenance**

- 16. The FAM updates the content of the SIC's GTI as:
  - (i) key documents are approved (see Key Documents Handbook), and

- (ii) when new documents are added to a series e.g. financial reports and operational plan monitoring reports.
- 17. The default deadline for publication of the above is within one week of approval.
- 18. Each time the online GTI is updated we change the "date last updated" reference at the bottom of the website page to reassure readers of the currency of the information.

#### **Document control sheet**

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